**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Eugene Lawrence Podsiadlo

Group Art Unit: 3624

Application No.: 09/668,547

Examiner: Havan, Thu Thao

Filed: September 25, 2000

For: **COMMUNICATION NETWORK BASED SYSTEM AND METHOD FOR
AUCTIONING SHARES ON AN INVESTMENT PRODUCT**

Date: October 9, 2006

**CERTIFICATE OF TRANSMISSION
BY FACSIMILE (37 C.F.R. § 1.8)**

Mail Stop Issue Fee
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1340

Sir:

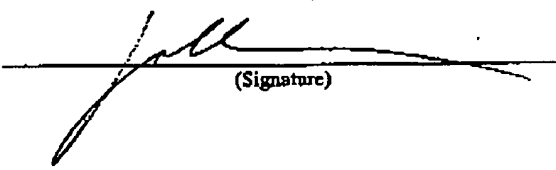
I hereby certify that the following correspondence:

**Fee Transmittal for Issue Fee (PTOL-85) (1 pg); and Petition Claiming Small Entity Status
under 37 CFR 1.27(c) (2 pgs)**

is being transmitted by facsimile to the United States Patent and Trademark Office in
accordance with 37 C.F.R. § 1.8 on the following date: **October 9, 2006**

Jeong Lee

(Typed Or Printed Name Of Person Signing this Certificate)


(Signature)

SSL-DOCS1 1694434v1



STROOCK

FACSIMILE

DATE

October 9, 2006

TO	COMPANY NAME	FAX NO.	PHONE NO.
Mail Stop ISSUE FEE, Commissioner for Patents	United States Patent and Trademark Office	571-273-2885	

TOTAL NO. OF PAGES	5
FROM	Steven B. Pokotilow, Reg. No.26,405
SENDER'S FAX NUMBER	212-806-6006
SENDER'S PHONE NO.	212-806-6059
SENDER'S EMAIL	jlee@stroock.com
ROOM NO.	3836
ATTORNEY NO.	3663
CLIENT/MATTER NAME	U.S. Patent Application No. 09/668,547
CLIENT/MATTER NO.	474712/0005

MESSAGE

Transmitted herewith please find:

1. Certificate of Transmission by Facsimile (37 CFR 1.8) (1 pg.)
2. Fee Transmittal for Issue Fee (PTOL-85) (1 pg)
3. Petition Claiming Small Entity Status under 37 CFR 1.27(c) (2 pgs)

CONFIDENTIAL

The information contained in this facsimile is privileged and confidential, and is intended only for the use of the individual named above and others who have been specially authorized to receive such. If the recipient is not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, or if any problems occur with this transmission, please notify us by telephone at 212-806-6102.

STROOCK & STROOCK & LAVAN LLP • NEW YORK • LOS ANGELES • MIAMI
180 MAIDEN LANE, NEW YORK, NY 10038-4982 TEL 212.806.5400 FAX 212.806.6006 WWW.STROOCK.COM

474712/0005
IGD/JL**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Eugene Lawrence Podsiadlo

Art Unit: 3624

Application No.: 09/668,547

Examiner: Havan, Thu Thao

Filed: September 25, 2000

Confirmation No.: 2854

For: **COMMUNICATION NETWORK BASED SYSTEM AND METHOD FOR
AUCTIONING SHARES ON AN INVESTMENT PRODUCT**

Date: October 9, 2006

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**PETITION CLAIMING SMALL ENTITY STATUS**
UNDER 37 CFR §1.27(c)

Sir:

Applicant encloses this Petition notifying the United States Patent and Trademark Office concerning the entitlement to small entity status for the above referenced Patent, as defined in 37 CFR §1.27(a)(2), and is also entitled to pay reduced fees under 35 U.S.C. §41(h).

Therefore, in compliance with 37 CFR 1.27(g)(1), an assertion of small entity status need only be filed once in an application or patent. Small entity status once established, remains in effect until changed. Therefore, the applicant is entitled to small entity status.

Applicant is submitting this Petition together with the Issue Fee Transmittal Form, authorizing the payment of the issue fee in the amount of \$700.00, the small entity fee, under 37 CFR 1.27(g)(1). Applicant qualifies as a small entity, and for the entire pendency of this application, has qualified as a small entity. Unfortunately, the large entity fee was inadvertently paid with the filing of the application. To correct Applicant's status, Applicant hereby submits this Petition claiming small entity.

U.S. Appln No.: 09/668,547
Filed: September 25, 2000

CONCLUSION

No additional fees are believed to be due in connection with the filing of this paper. Nevertheless, should any additional fee be required to have this paper entered and considered, authorization is hereby given to charge the amount of such fee, and any other fees, now or hereafter due, to Deposit Account No. 19-4709.

In the event that there are any questions, or should additional information be required, please do not hesitate to contact applicant's attorney at the number listed below.

Respectfully submitted,



Ian G. DiBernardo, Reg. No. 40,991 for
Steven B. Pokotilow
Registration No. 26,405
Attorney for Applicant
STROOCK & STROOCK & LAVAN LLP
180 Maiden Lane
New York, New York 10038-4982
(212) 806-5400